

Committee(s): Planning and Transportation Committee	Dated: 12 December 2023
Subject: Risk Management Update Report	Public
Which outcomes in the City Corporation's Corporate Plan does this proposal aim to impact directly?	All
Does this proposal require extra revenue and/or capital spending?	N
Report of: Bob Roberts, Interim Executive Director Environment	For Information
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Summary

This report provides the Planning and Transportation Committee with assurance that risk management procedures in place within the Environment Department are satisfactory and that they meet the requirements of the Corporate Risk Management Framework.

Risk is reviewed regularly within each service area as part of the ongoing management of operations. In addition to the flexibility for emerging risks to be raised as they are identified, a process exists for in-depth periodic review of the risk register.

This report considers the key business risks managed by the service areas of the Environment Department which fall within the remit of the Planning and Transportation Committee.

Recommendation

Members are asked to:

- Note the report and the actions being taken by the Environment Department to monitor, mitigate and effectively manage risks arising from their operations.

Main Report

Background

1. The Risk Management Framework of the City of London Corporation requires each Chief Officer to report regularly to Committee on the key risks faced by their department.
2. To fulfil this requirement, the key risks of the service areas of the Environment Department which fall within the remit of the Planning and Transportation Committee are presented to you every four months.

3. Risk Management is discussed regularly by the Department's Senior Leadership Team and at the meetings of each service area's Senior Management Team.
4. Between Management Team meetings, risks are reviewed in consultation with risk and control owners, and updates are recorded in the corporate risk management system.

Current Position

5. This report provides an update on the key risks that exist in relation to the operations of service areas of the Environment Department which fall within the remit of the Planning and Transportation Committee:
 - The Planning and Development Division, including the District Surveyor
 - The City Operations Division: Highways and Transportation services

Summary of key risks

6. The service areas which report to your committee hold a total of seven key risks (two RED and five AMBER). The risks are summarised below and the Risk Register is presented in full at Appendix 2.
 - **ENV-CO-TR 001:** Road Safety (Red, 24)
 - **ENV-CO-HW 010:** Car parks: Fire safety (Red, 16)
 - **ENV-CO-TR 003:** Transport and public realm projects not delivered due to lack of funding (Amber, 12)
 - **ENV-PD-DS-001:** The District Surveyor's (Building Control) Division becomes too small to be viable (Amber, 12)
 - **ENV-PD-PD 007:** Adverse planning policy context (Amber, 12)
 - **ENV-CO-HW 002:** Working in service/pipe subways (confined spaces) (Amber, 8)
 - **ENV-PD-DS 003:** Inspecting dangerous structures (Building Control) (Amber, 8)

Red risks

7. **ENV-CO-TR 001: Road Safety.** This current score of this risk is Red 24 (likelihood: possible; impact: extreme) and the target is to reduce it to a score of Red 16 (unlikely; extreme) by the end of March 2027 by delivering a range of projects, campaigns, training and engagement activities to deliver safe streets and encourage safe behaviour. Officers have been working with the City of London Police to refine some of the actions in the 'Vision Zero Action Plan'. Further details are provided in Appendix 2.
8. **ENV-CO-HW 010: Car parks: Fire safety.** This risk has been reduced from a score of 24 (possible; extreme) to 16 (unlikely; extreme) because the funding bid for fire safety works at London Wall car park was successful and works are due to commence. Officers are aiming to improve the fire safety of the car parks and reduce the risk rating to Green, 4 (unlikely; serious) by the end of 2024.

9. New and emerging risks are identified through a number of channels, the main being:
- Directly by Senior Management Teams as part of the regular review process.
 - In response to ongoing review of progress made against Business Plan objectives and performance measures, e.g., slippage of target dates or changes to expected performance levels.
 - In response to emerging events and changing circumstances which have the potential to impact on the delivery of services, such as availability of funding, new or amended legislation.

Corporate & Strategic Implications

10. Effective management of risk is at the heart of the City Corporation's approach to delivering cost effective and valued services to the public as well as being an important element within the corporate governance of the organisation.
11. The proactive management of risk, including the reporting process to Members, demonstrates that the department is adhering to the requirements of the City of London Corporation's Risk Management Policy and Strategy.
12. The risk management processes in place in the Environment Department support the delivery of the Corporate Plan, our Departmental and Divisional Business Plans and relevant Corporate Strategies.

Conclusion

13. Members are asked to note that risk management processes within each service area adhere to the requirements of the City Corporation's Risk Management Framework. Risks identified within the operational and strategic responsibilities of each area are proactively managed.

Appendices

- Appendix 1 – City of London Corporation Risk Matrix
- Appendix 2 – Environment Department Key Risks (Planning and Transportation Committee)

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